

12/2

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Yuichi IWASAKI et al. ) : Examiner: Unassigned  
Application No.: 10/670,328 ) : Group Art Unit: 2881  
Filed: September 26, 2003 ) : Confirmation No.: 5083  
For: DEFLECTOR, METHOD OF MANUFACTURING ) :  
DEFLECTOR, AND CHARGED PARTICLE BEAM : November 8, 2004  
EXPOSURE APPARATUS )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
**Attn: Theresa Frederick, Examiner**  
**Assignment Division**  
**Office of Public Records**

REQUEST FOR RECORDATION  
OF ASSIGNMENT DOCUMENT

Sir:

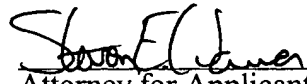
Applicants have received a Notice of Non-Recordation of Document (copy attached) in the above-identified application in which the Notice indicates that the “execution date of the assignment document is after the Patent and Trademark Office mail room date.” Please note, however, that the Assignment document was filed on January 28, 2004, concurrently with the new U.S. patent application. The Assignment document was executed by the various assignees on November 28, 2003, November 20, 2003, November 25, 2003, December 2, 2003, January 5, 2004, December 9, 2003, December 24, 2003 and December 25, 2003, all prior to receipt by the U.S. Patent and Trademark Office on January 28, 2004. Applicant re-submits herewith the original TRANSMITTAL (PTO-1595 Form) and original Assignment document, which were filed on January 28, 2004. Accordingly, Applicants request that the Assignment document be recorded and accorded the original date of filing of the Assignment document.

For ease of processing, Applicants also submit herewith a new Transmittal Document for Recordation (PTO-1595 Form).

No fee is believed to be due with the filing of this paper. Nevertheless, the Commissioner may charge Deposit Account No. 06-1205, should any fee be due for filing this paper.

Applicants' undersigned attorney may be reached in our Washington D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

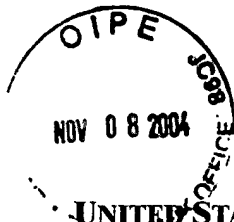
Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicants  
Steven E. Warner  
Registration No. 33,326

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200

SEW/eab

DC\_MAIN 183001v1



**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 02, 2004

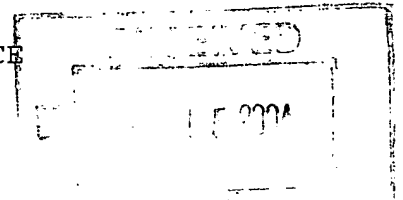
PTAS  
FITZPATRICK, CELLA, HARPER & SCINTO  
STEVEN E. WARNER  
30 ROCKEFELER PLAZA  
NEW YORK, NY 10112-3801



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*08/11/03259*

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF NON-RECORDATION OF DOCUMENT**



DOCUMENT ID NO.: 102658721

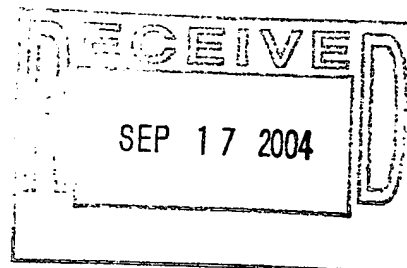
THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THE DOCUMENT SUBMITTED FOR RECORDING IS NOT ACCEPTABLE. THE EXECUTION DATE OF THE ASSIGNMENT DOCUMENT IS AFTER THE PATENT AND TRADEMARK OFFICE MAIL ROOM DATE.

THERESA FREDERICK, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



To the Director, U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1) Yuichi IWASAKI      5) Masayoshi ESASHI  
2) Masato MURAKI      6) Yoshinori NAKAYAMA  
3) Kenji TAMAMORI      7) Shinichi HASHIMOTO  
4) Kouji ASANO      8) Yoshiaki Moro

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 1) 11/28/2003; 2) 11/20/2003; 3) 11/25/2003;

4) 12/02/2003; 5) 01/05/2004; 6) 12/09/2003; 7) 12/24/2003;

8) 12/25/2003

2. Name and address of receiving party(ies):

First Name: CANON KABUSHIKI KAISHA

Foreign Address: 3-30-2, Shimomaruko

Ohta-ku, Tokyo, JAPAN

Second Name: Hitachi, Ltd.

Foreign Address: 6, Kanda Surugadai 4-chome,

Chiyoda-ku, Tokyo, 101-8010 JAPAN

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application Number: 10/670,328

Filing Date: September 26, 2003

B. Title of Invention:

DEFLECTOR, METHOD OF MANUFACTURING  
DEFLECTOR, AND CHARGED PARTICLE BEAM  
EXPOSURE APPARATUS

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Fitzpatrick, Cella, Harper & Scinto

30 Rockefeller Plaza

New York, New York 10112-3801

Telephone No.: (212) 218-2100

Facsimile No.: (212) 218-2200

6. Number of applications and patents involved:

One

7. Total fee (37 CFR 3.41): . . . . \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number (for deficiency or excess)

06-1205

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and the attached is the original document or is a true copy of the original document.*

Steven E. Warner, Reg. No. 33,326

Steven E. Warner  
Signature

November 8, 2004

Date

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

the PTO has received the  
\$ 40.00 not enclosed

02-02-2004



102658721

TION

Atty. Docket: 00862.023258

To the Director, U.S. Patent and Trade

ginal documents or copy thereof.

## 1. Name of conveying party(ies):

- 1) Yuichi IWASAKI      5) Masayoshi ESASHI  
2) Masato MURAKI      6) Yoshinori NAKAYAMA  
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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and the attached is the original document or is a true copy of the original document.

Steven E. Warner, Reg. No. 33,326

Signature

January 28, 2004

Date

Name of Person Signing

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